

CERS2 Submittal Elements for Facility Submittals

(September 2011)

The table below shows the *Submittal Elements* planned for the initial implementation of CERS2. Submittal Elements are made up of regulatory-approved Unified Program Consolidated Forms (UPCFs), and supplemental documentation mandated in regulation and/or by the local regulator. Each *Submittal Element* can be submitted (by an organization) and reviewed (by the regulator) independently of each other, except for the *Facility Information Submittal Element*, which must accompany all other submittal elements. To ensure emergency responders have current information and ensure the overall smooth operation of statewide electronic reporting, regulators will need to review all *Facility Information Submittal Elements* within 15 days of being submitted and set their status to “Accepted” or “Not Accepted” (or “Not Applicable” in rare circumstances).

CERS2 Submittal Element	UP Program Element
Facility Information [always managed by the CUPA] <ul style="list-style-type: none"> • Business Activities (UPCF) • Owner/Operator Identification (UPCF) • Locally-Required Document(s) 	Business Plan
Hazardous Materials Inventory <ul style="list-style-type: none"> • Inventory: 1+ Chemical Description (UPCF) • General Site Map • Annotated Site Map (Official Use Only) • Locally-Required Document(s) 	Business Plan
Emergency Response & Training Plans <ul style="list-style-type: none"> • Emergency Response/Contingency Plan • Employee Training Plan • Locally-Required Document(s) 	Business Plan
Underground Storage Tanks <ul style="list-style-type: none"> • UST Operating Permit Application: Facility Information (UPCF) • UST Operating Permit Application: Tank Information (UPCF) • UST Monitoring Plan (UPCF) • UST Certification of Installation/Modification (UPCF) • UST Monitoring Site Plan • UST Certification of Financial Responsibility • UST Response Plan • UST Owner and UST Operator: Written Agreement • UST Letter from the Chief Financial Officer • Owner Statement of Designated UST Operator Compliance • Locally-Required Document(s) 	UST

<p>Onsite Hazardous Waste Treatment Notification</p> <ul style="list-style-type: none"> • Onsite Hazardous Waste Treatment Notification: Facility (UPCF) • Onsite Hazardous Waste Treatment Notification: Unit (UPCF) • Tiered Permitting Unit: Permit By Rule (PBR) (UPCF) • Tiered Permitting Unit: Conditionally Authorized (CA) (UPCF) • Tiered Permitting Unit: Conditionally Exempt-Specified Waste Streams (CESW) (UPCF) • Tiered Permitting Unit: Conditionally Exempt-Small Quantity Treatment (CESQT) (UPCF) • Tiered Permitting Unit: Conditionally Exempt - Limited (CEL) (UPCF) • Tiered Permitting Unit: Prior Enforcement History • Tiered Permitting Unit: Tank and Container Certification • Tiered Permitting Unit: Notification of Local Agency or Agencies • Tiered Permitting Unit: Notification of Property Owner • Certification of Financial Assurance (UPCF) • Onsite Hazardous Waste Treatment: Written Estimate of Closure Costs • Financial Assurance Closure Mechanism • Onsite Hazardous Waste Treatment Plot Plan/Map • Locally-Required Document(s) 	<p>Hazardous Waste</p>
<p>Recyclable Materials Report</p> <ul style="list-style-type: none"> • Recyclable Materials Activities Page 1 (UPCF) • Recyclable Materials: Material Page 2 (UPCF) • Recyclable Materials: Documentation of Known Market • Locally-Required Document(s) 	<p>Hazardous Waste</p>
<p>Remote Waste Consolidation Annual Notification</p> <ul style="list-style-type: none"> • Remote Waste Consolidation Site Annual Notification (UPCF) • Locally-Required Document(s) 	<p>Hazardous Waste</p>
<p>Hazardous Waste Tank Closure Certification</p> <ul style="list-style-type: none"> • Hazardous Waste Tank Closure Certification [implemented as document, not a UPCF] • Locally-Required Document(s) 	<p>Hazardous Waste</p>
<p>Aboveground Petroleum Storage Tanks</p> <ul style="list-style-type: none"> • Locally-Required Document(s) 	<p>APSA</p>
<p>California Accidental Release Program</p> <ul style="list-style-type: none"> • Locally-Required Document(s) 	<p>CalARP</p>